**TU/ CODL**

**TEZPURUNIVERSITY**

**SEMESTER END EXAMINATION (AUTUMN), 2017**

**DIM 103: MUTUAL FUND**

Time: **3 Hours** Total Marks: **70**

*The figures in the right-hand margin indicate marks*

*for the individual question*

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1. **State *True or False.* 1X8=8**
2. IRDAI is the regulator of mutual fund business in India.
3. ELSS mutual funds have lock-in-period.
4. Mutual fund investments require the understanding of capital market.
5. Close ended mutual funds are more flexible than open ended mutual fund.
6. Intra-day trading of the open-ended funds is possible.
7. Offer Document is a legal document.
8. ICRA is a rating agency.
9. At present, the Companies don’t levy the exit loads.
10. **Fill in the blanks. 1x4=4**
11. The first mutual fund in India was set up in the year \_\_\_\_\_\_\_\_\_.
12. Buyers of mutual funds are called \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_holders.
13. The Sharpe Ratio was proposed by \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.
14. AMFI is abbreviation of \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_.
15. **Answer the following in one sentence. 1x3=3**
16. What is NAV?
17. What is an Asset Management Company?
18. What is STP?

**P.T.O.**

1. **Answer the following questions (any three). 5x3=15**
2. Describe the concept of mutual fund.
3. What is an Exchange Traded Funds?
4. What is NFO?
5. What are the various charges applicable in mutual funds investment?
6. What is a Balanced Scheme mutual funds?
7. **Answer the following questions (any four) 10x4=40**
8. Describe the rights and obligations of a Real estate Investment Trusts.
9. Describe the role of rating agencies in the performance of mutual funds scheme?
10. What is a Systematic Investment Plan? List out its various advantages.
11. Elaborate the different accounting principles followed by mutual funds for the purpose of recording and reporting.
12. Describe the statistical indicators used to analysis the investment risk of mutual funds.
13. Elaborate as to how the Capital Gains Tax is levied to mutual funds in India.

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